PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/714,700 **TRANSMITTAL** Filing Date November 17, 2003 **FORM** First Named Inventor Wen-Jian Lin Not Yet Known (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Known Attorney Docket Number DEE-PT064.1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Identify below): Extension of Time Request Form PTO-1449 (1 pg.) and references cited Request for Refund Express Abandonment Request therein. CD. Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Stephen B. Schott Reg. No. 51,294 Individual name Volpe and Koenig, P.C Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Stephen B. Schott

Date 1/29/2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DEE-PT064.1

January 29, 2004



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File:

Date:

In the **PATENT APPLICATION** of:

Wen-Jian Lin

Application No.: 10/714,700

Filed:

November 17, 2003

For: THIN FILM TRANSISTOR LIQUID CRYSTAL DISPLAY AND METHOD FOR

MANUFACTURING THE SAME

Group:

Not Yet Known

Examiner:

Not Yet Known

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicants wish to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Copies of the cited documents are enclosed.

This application is a continuation of Application No. 10/139,852, filed on May 7, 2002, which issued on February 27, 2003 as U.S. Patent No. US-2003-0038899-A1. Pursuant to Pre-Official Gazette Notice signed July 11, 2003 waiving the requirements under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003, copies of the cited U.S. documents have not been included.

Applicant: Wen-Jian Lin **Application No.:** 10/714,700

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Wen-Jian Lin

Stephen B. Schott

Registration No. 51,294

 $(215)\ 568-6400$

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103

SBS/tab Enclosure

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Sheet 1 of 1 **FORM PTO-1449** ATTY. DOCKET NO. SERIAL NO. DEE-PT064.1 10/714,700 U.S. DEPARTMENT OF COMMERCE **APPLICANT** PATENT AND TRADEMARK OFFICE Wen-Jian Lin INFORMATION DISCLOSURE FILING DATE **GROUP** STATEMENT BY APPLICANT November 17, 2003 Not Yet Known (Use several sheets if necessary) U.S. PATENT DOCUMENTS EXAMINER INITIAL FILING DATE IF DOCUMENT NUMBER DATE NAME CLASS SUBCLASS APPROPRIATE 4 0 02/2001 Kubo et al. AA 6 1 9 5 1 5 3 9 Hirata et al. ΑB 5 9 0 3 09/1999 AC 2002 01 6 3 6 0 8 11/2002 Ting et al. FOREIGN PATENT DOCUMENTS TRANSLATION CLASS SUBCLASS DOCUMENT NUMBER DATE COUNTRY YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER**

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.